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Application Serial Number: 09/99

0106

Source:

c. 11/29/2

Date Processed by STIC:

THE ATTACHED PRINTOUT EXPLAINS DETECTED ERRORS.
PLEASE FORWARD THIS INFORMATION TO THE APPLICANT BY EITHER:

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2) TELEPHONING APPLICANT AND FAXING A COPY OF THIS PRINTOUT, WITH A NOTICE TO COMPLY

FOR CRF SUBMISSION QUESTIONS, PLEASE CONTACT MARK SPENCER, 703-308-4212.

FOR SEQUENCE RULES INTERPRETATION, PLEASE CONTACT ROBERT WAX, 703-308-4216. PATENTIN 2.1 e-mail help: patin21help@uspto.gov or phone 703-306-4119 (R. Wax) PATENTIN 3.0 e-mail help: patin3help@uspto.gov or phone 703-306-4119 (R. Wax)

TO REDUCE ERRORED SEQUENCE LISTINGS, PLEASE USE THE <u>CHECKER</u> <u>VERSION 3.0 PROGRAM</u>, ACCESSIBLE THROUGH THE U.S. PATENT AND TRADEMARK OFFICE WEBSITE. SEE BELOW:

Checker Version 3.0

The Checker Version 3.0 application is a state-of the-art Windows based software program employing a logical and intuitive user-interface to check whether a sequence listing is in compliance with format and content rules. Checker Version 3.0 works for sequence listings generated for the original version of 37 CFR §§1.821 – 1.825 effective October 1, 1990 (old rules) and the revised version (new rules) effective July 1, 1998 as well as World Intellectual Property Organization (WIPO) Standard ST.25.

Checker Version 3.0 replaces the previous DOS-based version of Checker, and is Y2K-compliant. Checker allows public users to check sequence listings in Computer Readable form (CRF) before submitting them to the United States Patent and Trademark Office (USPTO). Use of Checker prior to filing the sequence listing is expected to result in fewer errored sequence listings, thus saving time and money.

Checker Version 3.0 can be down loaded from the USPTO website at the following address: http://www.uspto.gov/web/offices/pac/checker

| ERROR DETECTED | SUGGESTED CORRECTION SERIAL NUMBER: 09/990,65 9 | | | | | |
|---|---|--|--|--|--|--|
| ATTN: NEW RULES CASE | S: PLEASE DISREGARD ENGLISH "ALPHA" HEADERS, WHICH WERE INSERTED BY PTO SOFTWA | | | | | |
| Wrapped Nucleics Wrapped Aminos Wrapped Aminos The number/text at the end of each line "wrapped" down to the next line. This may occur if your file was retrieved in a word processor after creating it. Please adjust your right margin-to .3; this will prevent "wrapping." | | | | | | |
| 2Invalid Line Length | The rules require that a line not exceed 72 characters in length. This includes white spaces. | | | | | |
| 3Misaligned Amino Numbering | The numbering under each 5th amino acid is misaligned. Do not use tab codes between numbers; use space characters, instead. | | | | | |
| 4Non-ASCII | The submitted file was not saved in ASCII(DOS) text, as required by the Sequence Rules. Please ensure your subsequent submission is saved in ASCII text. | | | | | |
| 5Variable Length | Sequence(s) contain n's or Xaa's representing more than one residue. Per Sequence Rules, each n or Xaa can only represent a single residue. Please present the maximum number of each residue having variable length and indicate in the 220>-223> section that some may be missing. | | | | | |
| 6PatentIn 2.0 "bug" | A "bug" in Patentin version 2.0 has egused fire <220>-<223> section to be missing from amino acid sequences(s) | | | | | |
| 7Skipped Sequences (OLD RULES) | Sequence(s) missing. If intentional, please insert the following lines for each skipped sequence: (2) INFORMATION FOR SEQ ID NO:X: (insert SEQ ID NO where "X" is shown) (i) SEQUENCE CHARACTERISTICS: (Do not insert any subheadings under this heading) (xi) SEQUENCE DESCRIPTION:SEQ ID NO:X: (insert SEQ ID NO where "X" is shown) This sequence is intentionally skipped | | | | | |
| | Please also adjust the "(ii) NUMBER OF SEQUENCES:" response to include the skipped sequences. | | | | | |
| Skipped Sequences' (NEW RULES) | Sequence(s) missing. If Intentional, please insert the following lines for each skipped sequence. <210> sequence id number <400> sequence id number 000 | | | | | |
| Use of n's or Xaa's (NEW RULES) | Use of n's and/or Xaa's have been detected in the Sequence Listing. Per 1.823 of Sequence Rules, use of <220>-<223> is MANDATORY if n's or Xaa's are present. In <220> to <223> section, please explain location of n or Xaa; and which residue n or Xaa represents. | | | | | |
| 0Invalid <213> Response | Per 1.823 of Sequence Rules, the only valid <213> responses are: Unknown, Artificial Sequence, or scientific name (Genus/species). <220>-<223> section is required when <213> response is Unknown or is Artificial Sequence | | | | | |
| 1 Use of <220> | Sequence(s) 1-2, missing the <220> "Feature" and associated numeric identifiers and responses. Use of <220> to <223> is MANDATORY if <213> "Organism" response is "Artificial Sequence" or "Unknown." Please explain source of genetic material in <220> to <223> section. (See "Federal Register," 06/01/1998, Vol. 63, No. 104, pp. 29631-32) (Sec. 1.823 of Sequence Rules) | | | | | |
| Patentin 2.0 "bug" | Please do not use "Copy to Disk" function of Patentin version 2.0. This causes a corrupted file, resulting in missing mandatory numeric identifiers and responses (as indicated on raw sequence listing). Instead, please use "File Manager" or any other manual means to copy file to floppy disk. | | | | | |
| 3Misuse of n! | n can only be used to represent a single nucleotide in a nucleic acid sequence. N is not used to represent any value not specifically a nucleotide. | | | | | |

AMC/MH - Biotechnology Systems Branch - 08/21/2001

OIPE

```
RAW SEQUENCE LISTING
                                                             DATE: 11/29/2001
                     PATENT APPLICATION: US/09/990,659
                                                             TIME: 10:46:19
                                                                           yr1-2,6
                     Input Set : A:\es.txt
                     Output Set: N:\CRF3\11212001\1990659.raw
                                                                             oes Not Comply
      3 <110> APPLICANT: Hillyard, Jeanna
                                                                       Corrected Diskette Needed
              Roberts, James
              Ye, Minwei
      7 <120> TITLE OF INVENTION: Cotton Event PV-GHBK04 (757) and Compositions and Methods
for Detection
      R
             Thereof
     10 <130> FILE REFERENCE: 38-21 (52288)B
   > 12 <140> CURRENT APPLICATION NUMBER: US/09/990,659
     12 <141> CURRENT FILING DATE: 2001-11-16
     12 <150> PRIOR APPLICATION NUMBER: US60/249,757
     13 <151> PRIOR FILING DATE: 2000-11-17
     15 <160> NUMBER OF SEQ ID NOS: 21
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     20 <211> LENGTH: 20
     21 <212> TYPE: DNA
     22 <213> ORGANISM; artificial sequence
     24 <220> FEATURE;
                                       -) This runevi identifies and response
     25 <221> NAME/KEY: misc_feature
     26 <222 LOCATION: (1)..(20)
W--> 28 (223) OTHER INFORMATION:
                                                                             20 mardatory
wherever
     28 ₹400 SEQUENCE: 1
     29 gtttgcttgg acactgatag
     32 <210> SEQ ID NO: 2
     33 <211> LENGTH: 20
     34 <212> TYPE: DNA
     35 <213> ORGANISM: artificial sequence
     37 <220> FEATURE:
                                                                               is Unknown
     38 <221> NAME/KEY: misc_feature
     39 <2227 LOCATION: (1)..(20)
                                  same eno
W--> 41 (<223> OTHER INFORMATION:
     41 400> SEQUENCE: 2
     42 aaaccctttc tggaaaaata
     45 <210> SEQ ID NO: 3
     46 <211> LENGTH: 20
     47 <212> TYPE: DNA
     48 <213> ORGANISM: Gossypium hirsutum
     50 <220> FEATURE:
     51 <221> NAME/KEY: misc_feature
     52 <222> LOCATION: (1)..(20)
     54 <400> SEQUENCE: 3
     55 tgttctgtgg aaaaggaagg
     58 <210> SEQ ID NO: 4
     59 <211> LENGTH: 20
    60 <212> TYPE: DNA
    61 <213> ORGANISM: Gossypium hirsutum
    63 <220> FEATURE:
    64 <221> NAME/KEY: misc_feature
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RAW SEQUENCE LISTING DATE: 11/29/2001 PATENT APPLICATION: US/09/990,659 TIME: 10:46:19

Input Set : A:\es.txt

Output Set: N:\CRF3\11212001\I990659.raw

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      67 <400> SEQUENCE: 4
     68 atgcctgcag gtcaattcaa
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     71 <210> SEQ ID NO: 5
     72 <211> LENGTH: 138
     73 <212> TYPE: DNA
     74 <213> ORGANISM artificial sequence
     76 <220> FEATURE:
     77 <221> NAME/KEY: misc_feature
     78 <222 LOCATION: (1)..(138)
W--> 80 (223>)OTHER INFORMATION:
     80 <400> SEQUENCE: 5
     81 acactgatag tttaaactga aggcgggaaa cgacaatctg atcccagctt gcatgcctgc
                                                                                60
     83 aggtcaattc aatattgtgg caggacattg ctacatgata cctcttagaa ttgtttagac
                                                                               120
     85 ttcagatcga tcttgtca
     88 <210> SEQ ID NO: 6
     89 <211> LENGTH: 767
     90 <212> TYPE: DNA
     91 <213> ORGANISM: Gossypium hirsutum
     93 <220> FEATURE:
     94 <221> NAME/KEY: Unsure
     95 <222> LOCATION: (1)..(767)
     96 <223> OTHER INFORMATION: 5' cotton (Gossypium hirsutum) genome sequence
     99 <400> SEQUENCE: 6
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     102 catattcaac tgaaactccc aaagccattc ctactttagc ttttcaccca ctaactcaaa
                                                                                120
     104 agaaaacact cacctagctt ctttgctttt tcttttggat tgttttagat ctacaaaaag
                                                                                180
     106 atgattcaag aactccttgg aggttcttct tgcttaaact ttggagggga gaggaagatc
                                                                                240
     108 tocatcaatg gaagcatttt ggaaggaacc cocacttott ctocatcacc atcatottet
                                                                                300
     110 tettettegg egacgaette ategaceaet aatteatega ateeggagaa teateaeeag
                                                                               360
     112 aatttgaggt geeceaggtg tgatteetee aacacaagt tetgetatta caacaactae
                                                                               420
     114 aacctcactc agcctcgtca cttttgcaag acttgccgtc ggtattggac caaaggagga
                                                                               480
     116 gctctcagaa acgttcctat tggtggtggg tgtaggaaaa acaaaagcac tactggtgtt
                                                                               540
     118 tcaacatctc tggggaaatc aacttcttcc aagatgaaaa cagtagtttc tgaaattgga
                                                                               600
     120 agatetgggt tegateatga getteagtet actecaatte titggaette ageggeeeag
                                                                               660
     122 acttcccatc ttctatccaa tctaacctca atgagageta ccctaaaccc taaccctaac
                                                                               720
     124 acattgtcta accetgttag tattaaggaa gaagtgagtt tgcttgg
                                                                               767
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     128 <211> LENGTH: 206
     129 <212> TYPE: DNA
     130 <213> ORGANISM artificial sequence
     132 <220> FEATURE:
     133 <221> NAME/KEY: misc_feature
     134 <222 LOCATION: (1)..(206)
W--> 136 <223 → OTHER INFORMATION:
     136 <400> SEQUENCE: 7
     137 tgagggatca agccacagca gcccactcga cettetagee gacccagaeg agccaaggga
     139 tetttttgga atgetgetee gtegteagge ttteegaegt ttgggtggtt gaacagaagt
                                                                               120
     141 cattategea eggaatgeea ageaeteeeg aggggaaeee tgtggttgge atgeaeatae
                                                                               180
```

RAW SEQUENCE LISTING

PATENT APPLICATION: US/09/990,659

DATE: 11/29/2001 TIME: 10:46:19

Input Set : A:\es.txt

Output Set: N:\CRF3\11212001\1990659.raw

| | | 206 |
|-----|---|-------|
| | aaatggacga acggataaac cctttc | 206 |
| | <210> SEQ ID NO: 8 | |
| | <211> LENGTH: 307 | |
| | <212> TYPE: DNA | |
| | <213> ORGANISM: Gossypium hirsutum | |
| | <220> FEATURE: | ني . |
| | VZZIV NAME/REI. UNSUTE | 5. |
| | <222> LOCATION: (1)(307) | |
| | <pre><223> OTHER INFORMATION: 3' cotton (Gossypium hirsutum) genome seque</pre> | ence |
| | <400> SEQUENCE: 8 | |
| 158 | tggaaaaata atcaacacca cgctcaacaa caacagaata ataatgggtt ccttgtaggt | 60 |
| 160 | gaagttcaaa acacaggtat tcaagaactg tatcaaaggc tcaaatgatc atcaagttat | 120 |
| 162 | tactotgata ottoagoagt aattotaago aatgtogott ottottoato aacatooatt | 180 |
| | ttggagtcag ctccagttgc tgggggagaa ttgggttact ggaatccggc attttcatca | 240 |
| | togtggtotg atottocaac aactaatggt goatatoott aaaataacco tttacottto | 300 |
| | gtttaat | 307 |
| | <210> SEQ ID NO: 9 | |
| | <211> LENGTH: 26 | |
| | <212> TYPE: DNA | |
| | <213> ORGANISM: artificial sequence | |
| | <220> FEATURE: | |
| | <221> NAME/KEY: misc_feature | |
| | <222> LOCATION: (1)(26) | |
| | <223> OTHER INFORMATION: 5' cotton (Gossypium hirsutum) genome PCR p | rimer |
| | <400> SEQUENCE: 9 | |
| | gagagagata ggcactaaag taagca | 26 |
| | <210> SEQ ID NO: 10 | |
| | <211> LENGTH: 28 | |
| | <212> TYPE: DNA | |
| | <213> ORGANISM: artificial sequence | |
| | <220> FEATURE: | |
| | <221> NAME/KEY: misc_feature | |
| | <222> LOCATION: (1)(28) | |
| | <223> OTHER INFORMATION: 5' insert PCR primer | |
| | <400> SEQUENCE: 10 | |
| | ttagacaaat tgtcacggtc taccagaa | 28 |
| | <210> SEQ ID NO: 11 | |
| | <211> LENGTH: 24 | |
| | <212> TYPE: DNA | |
| | <213> ORGANISM: artificial sequence | • |
| | <220> FEATURE: | |
| | <221> NAME/KEY: misc_feature | |
| | <222> LOCATION: (1)(24) | |
| | <223> OTHER INFORMATION: 3' insert PCR primer | |
| | <400> SEQUENCE: 11 | |
| | ttcccaacga tcaaggcgag ttac | 24 |
| | <210> SEQ ID NO: 12 | |
| | <211> LENGTH: 27 | |
| 218 | <212> TYPE: DNA | |

RAW SEQUENCE LISTING DATE: 11/29/2001 PATENT APPLICATION: US/09/990,659 TIME: 10:46:19

Input Set : A:\es.txt

Output Set: N:\CRF3\11212001\1990659.raw

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219 <213> ORGANISM: artificial sequence
221 <220> FEATURE:
222 <221> NAME/KEY: misc_feature"
223 <222> LOCATION: (1)..(27)
224 <223> OTHER INFORMATION: 3' cotton (Gossypium hirsutum) genome PCR primer
227 <400> SEQUENCE: 12
                                                                       == 27
228 ttgatgcact tacgaaagaa gaaccga
231 <210> SEQ ID NO: 13
232 <211> LENGTH: 905
233 <212> TYPE: DNA
234 <213> ORGANISM: artificial sequence
236 <220> FEATURE:
237 <221> NAME/KEY: misc_feature
238 <222> LOCATION: (1)..(905)
239 <223> OTHER INFORMATION: 5' cotton (Gossypium hirsutum) genome + insert sequence
242 <400> SEQUENCE: 13
243 gtcccggggg cttatcctgt attcatttgc acccacataa acagccaaat taaccaaacc
                                                                           60
                                                                          120
245 catattcaac tgaaactccc aaagccattc ctactttagc ttttcaccca ctaactcaaa
247 agaaaacact cacctagctt ctttgctttt tcttttggat tgttttagat ctacaaaaag
                                                                          180
249 atgattcaag aactccttgg aggttcttct tgcttaaact ttggagggga gaggaagatc
                                                                          240
251 tecateaatg gaageatttt ggaaggaace eccaettett etecateace ateatettet
                                                                          300
253 tettettegg egacgaette ategacete aatteatega ateeggagaa teateaceag
                                                                          360
                                                                          420
255 aatttgaggt geceeaggtg tgatteetee aacacaaagt tetgetatta caacaactae
                                                                          480
257 aacctcactc agcctcgtca cttttgcaag acttgccgtc ggtattggac caaaggagga
259 gctctcaqaa acqttcctat tqqtqqtqqq tqtaggaaaa acaaaagcac tactggtgtt
                                                                          540
261 tcaacatctc tggggaaatc aacttcttcc aagatgaaaa cagtagtttc tgaaattgga
                                                                          600
263 agatetgggt tegateatga getteagtet aetecaatte tttggaette ageggeeeag
                                                                          660
265 acttcccatc ttctatccaa tctaacctca atgagagcta ccctaaaccc taaccctaac
                                                                          720
267 acattgtcta accctgttag tattaaggaa gaagtgagtt tgcttggaca ctgatagttt
                                                                          780
269 aaactgaagg cgggaaacga caatctgate ccagcttgca tgcctgcagg tcaattcaat
                                                                          840
271 attgtggcag gacattgcta catgatacct cttagaattg tttagacttc agatcgatct
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                                                                          905
273 tqtca
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277 <211> LENGTH: 513
278 <212> TYPE: DNA
279 <213> ORGANISM: artificial sequence
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282 <221> NAME/KEY: misc_feature
283 <222> LOCATION: (1)..(513)
284 <223> OTHER INFORMATION: 3' cotton (Gossypium hirsutum) genome + insert sequence
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290 tetttttgga atgetgetee gtegteagge tttcegaegt ttgggtggtt gaacagaagt
                                                                          120
292 cattategea eggaatgeea ageaeteeeg aggggaacee tgtggttgge atgeaeatae
                                                                          180
294 aaatggacga acggataaac cetttetgga aaaataatea acaccacget caacaacaac
                                                                          240
296 agaataataa tgggtteett gtaggtgaag tteaaaacae aggtatteaa gaactgtate
                                                                          300
298 aaaggeteaa ateateatea agttattaet etgataette ageagtaatt etaageaatg
                                                                          360
300 tegettette tteateaaca teeattttgg agteagetee agttgetggg ggagaattgg
                                                                          420
302 gttactggaa teeggeattt teateategt ggtetgatet teeaacaaet aatggtgeat
                                                                          480
```

RAW SEQUENCE LISTING

PATENT APPLICATION: US/09/990,659

DATE: 11/29/2001 TIME: 10:46:19

Input Set : A:\es.txt

Output Set: N:\CRF3\11212001\1990659.raw

| 304 | atccttaaaa | taacccttta | cctttcgttt | aat | | • | 513 | | | | |
|-----|-------------------------------------|------------|--------------|-------------|-------------|---------------|-----------------|--|--|--|--|
| 307 | <210> SEQ | ID NO: 15 | | | , | | •• | | | | |
| 308 | <211> LENG | TH: 4973 | | | | | | | | | |
| 309 | <212> TYPE | : DNA | | | | | | | | | |
| | <213> ORGANISM: artificial sequence | | | | | | | | | | |
| | <220> FEATURE: | | | | | | | | | | |
| | <221> NAME/KEY: misc_feature | | | | | | | | | | |
| 314 | <222> LOCA | TION: (1) | (4973) | • | | | | | | | |
| | | | ON: sequence | e of 5' fla | nk to full- | length crylAd | c coding region | | | | |
| | <400> SEQU | | | | | | | | | | |
| 319 | cggcccagac | ttcccatctt | ctatccaatc | taacctcaat | gagagctacc | ctaaacccta | 60 | | | | |
| 321 | accctaacac | attgtctaac | cctgttagta | ttaaggaaga | agtgagtttg | cttggacact | 120 | | | | |
| | gatagtttaa | | | | | | 180 | | | | |
| | àattcaatat | | | | | | 240 | | | | |
| | atcgatcttg | | | | | | 300 | | | | |
| | gacaatttgt | | | | | | 360 | | | | |
| | ctagttaatt | | | | | | 420 | | | | |
| | catatcaaat | | | - | - | | 480 | | | | |
| | ttatttattc | | | | | | 540 | | | | |
| | ctatgagcta | | | | | | 600 | | | | |
| 339 | aattgaagac | aaaggacggg | atccccgggt | accgageteg | aattcaggcc | tctagatctc | 660 | | | | |
| | attattcctc | | | | | | 720 | | | | |
| | cgatctcgat | | | | | | 780 | | | | |
| | caactggaag | | | | | | 840 | | | | |
| | tgccatctgt | | | | | | 900 | | | | |
| | cttcgttgta | | | | | | 960 | | | | |
| | tgtagtcgtt | | | | | | 1020 | | | | |
| | acttaagctc | | | | | | 1080 | | | | |
| | cctccttgta | | | | | | 1140 | | | | |
| | gggacacttc | | | | | | 1200 | | | | |
| | ccacgtccac | | | | | | 1260 | | | | |
| | tgatgacgtt | | | | | | 1320 | | | | |
| | cgaagatggc | | | | | | 1380 | | | | |
| | tgctgtgcac | | | | | | 1440 | | | | |
| | gatcatattg | | | | | | 1500 | | | | |
| | tgttagtttc | | | | | | 1560 | | | | |
| 371 | cacgagcgag | agcttcaccg | accaatggtt | tctcttcgag | aaactcaagg | ttgccaagtc | 1620 | | | | |
| 373 | ttgcgtgtcc | gtcttgggtc | ttgatcttga | agatgaccca | gactccgagg | tcctcattca | 1680 | | | | |
| | ggtcagtaca | | | | | | 1740 | | | | |
| 377 | cgccatccct | gcaggagcag | tccaagtcag | gattccactc | aaggtgtgga | gcgcatctgt | 1800 | | | | |
| 379 | taggctctcc | acacttccca | atgggagatt | gggcagaaag | tggccagagg | gaaccagtac | 1860 | | | | |
| 381 | ctgggacatt | cacggtctcg | tgcttggcat | tgtacctgat | cgagtagatt | tcaaggtctt | 1920 | | | | |
| | ggctgtcttc | | | | | | 1980 | | | | |
| 385 | cgatcttctg | gtacaagtag | gtagggtagc | actcgtcgaa | agttccggag | agggtgacgt | 2040 | | | | |
| 387 | agttctcctt | gaacacatcg | tcgcctcctt | ggatggtgat | cccggtgctt | ccaccccaac | 2100 | | | | |
| 389 | cacgttctgg | ctgcctgttg | atgtctttga | agttggagtc | ttgcaagaga | ttcctctcgt | 2160 | | | | |
| | cgctgagacg | | | | | | 2220 | | | | |
| | actcatcgct | | | | | | 2280 | | | | |
| 395 | tagttttcaa | gccaagctga | ttggtggagg | taaagagggc | gttcacagcc | ttctgggctc | 2340 | | | | |
| | | | | | | | | | | | |

<210> 20 <211> 19 <212> DNA artifical <213> artifical sequence

.•:-

VERIFICATION SUMMARY

PATENT APPLICATION: US/09/990,659

DATE: 11/29/2001 TIME: 10:46:20

Input Set : A:\es.txt

Output Set: N:\CRF3\11212001\1990659.raw

L:12 M:270 C: Current Application Number differs, Replaced Current Application No

L:12 M:271 C: Current Filing Date differs, Replaced Current Filing Date

L:28 M:258 W: Mandatory Feature missing, <223> OTHER INFORMATION:

L:41 M:258 W: Mandatory Feature missing, <223> OTHER INFORMATION: L:80 M:258 W: Mandatory Feature missing, <223> OTHER INFORMATION:

L:136 M:258 W: Mandatory Feature missing, <223> OTHER INFORMATION:

L:553 M:220 C: Keyword misspelled or invalid format, <213> ORGANISM for SEQ=TD#:20